

C9C

CASE PP/15-22899/CGM 529/PCT

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dolores DeGarmine  
Type or print name

Dolores DeGarmine  
Signature

FEB 5 2008  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT 7,288,585 OF  
GRAEME MOAD ET AL  
ISSUED: OCTOBER 30, 2007  
APPLICATION NO.: 10/561,297  
FILED: JUNE 9, 2006  
FOR: ACRYLIC DISPERSING AGENTS IN  
NANOCOMPOSITES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate  
FEB 11 2008  
of Correction

**ATTENTION: Decision and Certificate of Correction**  
**Branch of the Patent Issue Division**

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR § 1.323**

Patentees recently received a copy of the above-issued patent and discovered that under item (73) the Assignee was listed incorrectly. A Request for a Certificate of Correction is hereby submitted pursuant to 37 CFR § 1.323.

Patentees aver that the failure to include the correct Assignee's name on **Item 5 of PTOL-85B** was an inadvertent clerical error and made in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination.

The error occurs on the title page of U.S. Patent 7,288,585; specifically, under Item (73) as noted above which lists the Assignee of the patent as Ciba Specialty Chemicals Corporation, Tarrytown, NY (US). The correct Assignee should read:

02/07/2008 HDESTA1 00000030 031935 7288585

01 FC:1811 100.00 DA

RECEIVED-USPTO  
Patent Publication

FEB 11 2008

**Polymers Australia Pty. Limited, Victoria Australia.** Enclosed is a copy of the recorded assignment which shows this to be true. **A Petition under 37 CFR § 1.183, dated February 5, 2008, has been submitted under separate cover to the Petitions Office for consideration.** Once the Petition which a copy is attached herewith, has been granted, please issue a certificate of correction as soon as possible.

Attached in duplicate is Form PTO-1050, with at least one copy being suitable for printing.

Please charge Deposit Account No. 03-1935 in the amount of \$100.00 for payment of the fee for the Certificate of Correction as required under 37 CFR § 1.20(a). A duplicate copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR § 1.20(a) which may be required, or credit any overpayment, to Account No. 03-1935.

Please send the Certificate of Correction to Patentees' undersigned attorney at the address listed below.

Respectfully submitted,



Tyler A. Stevenson  
Agent for Patentees  
Reg. No. 46,388

Ciba Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591-9005  
(914) 785-2783

Enclosures:

- 1) duplicate copy of this letter for charging payment of the \$100.00 fee for the Certificate of Correction as required under 37 CFR § 1.20(a)
- 2) two copies of Form PTO-1050
- 3) copy of the Petition under 37 CFR § 1.183 submitted to the Petitions Office for consideration

Date:

**FEB 5 2008**

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Patent Publication

**FEB 11 2008**

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,288,585  
DATED: : OCTOBER 30, 2007  
INVENTOR(S) : GRAEME MOAD ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page

Item (73)

-- (73) Assignee: **Polymers Australia Pty. Limited**  
Victoria, Australia --.

MAILING ADDRESS OF SENDER

Tyler A. Stvenson  
Ciba Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591

PATENT NO. 7,288,585  
(No. of add'l. (3)

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Patent Publication

FEB 11 2008

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,288,585  
DATED: : OCTOBER 30, 2007  
INVENTOR(S) : GRAEME MOAD ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page

Item (73)

-- (73) Assignee: **Polymers Australia Pty. Limited**  
Victoria, Australia --.

MAILING ADDRESS OF SENDER

Tyler A. Stvenson  
Ciba Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591

PATENT NO. 7,288,585  
(No. of add'l. (3)

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Patent Publication

FEB 11 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 12, 2006

PTAS

AUG 22 2006



\*103285005A\*

JOANN VILLAMIZAR  
CIBA SPECIALTY CHEMICALS CORP.  
PATENT DEPARTMENT  
P.O. BOX 2005, 540 WHITE PLAINS ROAD  
TARRYTOWN, NY 10591

Docket Coordinator  
Patent Department

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/31/2006

REEL/FRAME: 018095/0897

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: PP/15-22899/CGM 529/PCT

ASSIGNOR:

MOAD, GRAEME

DOC DATE: 02/02/2006

ASSIGNOR:

SIMON, GEORGE PHILIP

DOC DATE: 02/02/2006

ASSIGNOR:

DEAN, KATHERINE MAREE

DOC DATE: 01/31/2006

ASSIGNOR:

LI, GUOXIN

DOC DATE: 01/31/2006

ASSIGNOR:

MAYADUNNE, ROSHAN TYRREL ANTON

DOC DATE: 02/02/2006

ASSIGNOR:

RIZZARDO, EZIO

DOC DATE: 02/02/2006

RECEIVED-USPTO  
Patent Publication

FEB 11 2008

*Copy sent to Basle - LES. 5/11/04  
9/7/06 DD*

ASSIGNOR:  
EVANS, RICHARD ALEXANDER

DOC DATE: 02/02/2006

ASSIGNOR:  
WERMTER, HENDRIK

DOC DATE: 11/28/2005

ASSIGNOR:  
PFAENDNER, RUDOLF

DOC DATE: 11/29/2005

ASSIGNEE:  
POLYMERS AUSTRALIA PTY. LIMITED  
32 BUSINESS PARK DRIVE  
NOTTING HILL, VICTORIA, AUSTRALIA



3168

SERIAL NUMBER: 10561297

FILING DATE: 06/09/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: ACRYLIC DISPERSING AGENTS IN NANOCOMPOSITES

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

RECEIVED USPTO  
Patent Publication

FEB 11 2008

08-02-2006



ER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

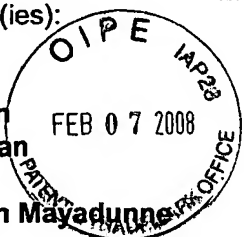
Y Case PP/15-22899/CGM 529/PCT

103285005

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

1. Graeme Moad
2. George Philip Simon
3. Katherine Maree Dean
4. Guoxin Li
5. Roshan Tyrrel Anton Mayadunne
6. Ezio Rizzardo
7. Richard Alexander Evans
8. Hendrik Wermter
9. Rudolf Pfaendner



## 2. Name and address of receiving party(ies)

Name: **Polymers Australia Pty. Limited**  
 Internal Address:  
 Street Address: **32 Business Park Drive**  
 City: **Notting Hill** State: **Victoria**  
 Country: **Australia** ZIP: **3168**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date(s): 1., 2., 5., 6. & 7. 2/2/2006;  
3. & 4. 1/31/2006; 8. 11/28/2005; 9. 11/29/2005

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) **10/561,297**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**  
**Ciba Specialty Chemicals Corp.**  
 Internal Address: **Patent Department**  
**P.O. Box 2005**  
 Street Address: **540 White Plains Road**  
 City: **Tarrytown** State: **NY** ZIP: **10591**

6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$**40.00**

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

RECEIVED-USPTO

8. Deposit account number: **Patent Publication**  
**03-1935**

DO NOT USE THIS SPACE

FEB 11 2006

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Tyler A. Stevenson

Name of Person Signing  
 Reg. No. **31,635**

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to: **2**

7-31-06

08/02/2006 DBYONE 00000034 031935 10561297  
 01 FC-0031 40.00 TR

ASSIGNMENT

I / We Graeme MOAD, 9 Clarkmont Road, Sassafras, Victoria 3787, Australia  
 George Philip SIMON, 1275 Glenhuntly Road, Glenhuntly, Victoria 3163, Australia  
 Katherine Maree DEAN, 96 Johnston Street, Collingwood, Victoria 3066, Australia  
 Guoxin LI, 17 Rupert Drive, Mulgrave, Victoria 3170, Australia  
 Roshan Tyrrel Anton MAYADUNNE, 16 Entally Drive, Wheelers Hill, Victoria 3150, Australia  
 Ezio RIZZARDO, 26 Alex Avenue, Wheelers Hill, Victoria 3150, Australia  
 Richard Alexander EVANS, 7 Packham Crescent, Glen Waverley, Victoria 3168, Australia  
 Hendrik WERMTER, Fehlheimerstrasse 8, 64625 Bensheim, Germany  
 Rudolf PFAENDNER, Sackgasse 3, 64668 Rimbach, Germany

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Polymers Australia Pty. Limited, an Australian corporation, of 32 Business Park Drive, Notting Hill, Victoria 3168, Australia**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:


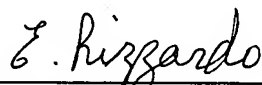



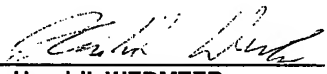
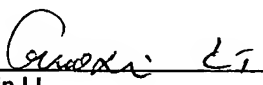
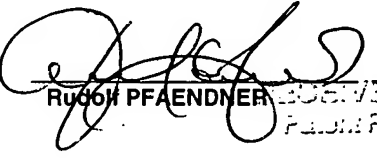
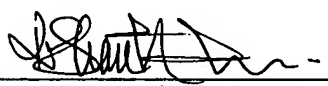
**Acrylic dispersing agents in nanocomposites**

invented by me/us and described in the international application

No. PCT/EP2004/051098 filed 14 June 2004

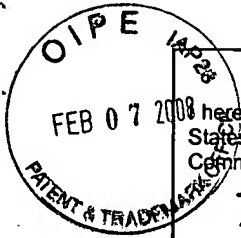
and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Polymers Australia Pty. Limited**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Polymers Australia Pty. Limited**.

 _____ Graeme MOAD	2/2/06 _____ Date	 _____ Ezio RIZZARDO	2/2/06 _____ Date
 _____ George Philip SIMON	2/2/06 _____ Date	 _____ Richard Alexander EVANS	2/2/06 _____ Date
 _____ Katherine Maree DEAN	31/01/06 _____ Date	 _____ Hendrik WERMTER	28/11/05 _____ Date
 _____ Guoxin LI	31/01/06 _____ Date	 _____ Rudolf PFAENDNER	29/11/05 _____ Date
 _____ Roshan Tyrrel Anton MAYADUNNE	02/02/06 _____ Date	FEB 11 2003	



 **COPY**



CASE PP/15-22899/CGM 529/PCT

CERTIFICATE OF MAILING

hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dolores DeCarminie  
Type of print name

Dolores DeCarminie  
Signature

FEB 5 2008  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT 7,288,585 OF

GRAEME MOAD ET AL

ISSUED: OCTOBER 30, 2007

APPLICATION NO.: 10/561,297

FILED: JUNE 9, 2006

FOR: ACRYLIC DISPERSING AGENTS IN  
NANOCOMPOSITES

**Mail Stop Petition**

Commissioner for Patents  
Alexandria, VA 22313-1450

PETITION FOR SUSPENSION OF RULES UNDER 37 CFR § 1.183

Sir:

Patentees recently received a copy of the above-issued patent and discovered that on the title page of the patent under item (73) the Assignee was listed incorrectly. Patentees hereby petition for a suspension of the rules under 37 CFR § 1.183 and hereby request that 37 CFR § 1.323 be waived to permit the correct name of the Assignee to be provided after issuance of the patent. Patentees also attach a copy of their Request for a Certificate of Correction pursuant to 37 CFR § 1.323, dated February 5, 2008 which has been submitted under separate cover to the Decision and Certificate of Correction Branch of the Patent Issue Division.

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Patent Publication

FEB 11 2008

Patentees aver that the failure to list the correct Assignee's name on **Item 5 of PTOL-85B** was an inadvertent clerical error and made in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination.

The error occurs on the title page of U.S. Patent 7,288,585; specifically, under item (73) as noted above which lists the Assignee of the patent as Ciba Specialty Chemicals Corporation, Tarrytown, NY (US). The correct Assignee should read: **Polymers Australia Pty. Limited, Victoria, Australia**. Enclosed is a copy of the recorded assignment which shows this to be true.

Please charge Deposit Account No. 03-1935 in the amount of \$130.00 for payment of the petition fee as required under 37 CFR § 1.17(h). A duplicate copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR § 1.17(h) which may be required, or credit any overpayment to Account No. 03-1935.

Patentees believe that all requirements for a Petition under 37 CFR § 1.183 have been met and respectfully request that their petition be granted. Please refer any questions to Patentees' undersigned representative at the telephone number of address listed below.

Ciba Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591-9005  
(914) 785-2783

Respectfully submitted,



Tyler A. Stevenson  
Agent for Patentees  
Reg. No. 46,388

- Enclosures:
- 1) duplicate copy of this letter for charging payment of the Petition \$130.00 fee as required under 37 CFR § 1.17(h)
  - 2) copy of Notice of Recordation of Assignment Document
  - 3) copy of Patentees' Request for a Certificate of Correction Pursuant to 37 CFR § 1.323

FILED-USPTO  
Patent Publication

Date: **FEB 5 2008**

**FEB 11 2008**